

RSUMIL Broker Questionnaire 2026

Brokers that place business with Ryan Specialty Underwriting Managers International Limited (including any such trading names as may be used from time to time) (“RSUMIL”) are required to complete this questionnaire and enter into a Terms of Business Agreement.

All non-public information provided in this questionnaire will be treated as confidential and used by Ryan Specialty International only for the purposes of evaluating a trading relationship.

The completion of this questionnaire does not place an obligation on RSUMIL to enter into a trading relationship. However, RSUMIL will be entitled to rely upon any information provided by the Broker in this questionnaire and any supporting information in the event that the Broker and RSUMIL enter into a Terms of Business Agreement.

Broker Details		
1	Full Legal Company name	
2	Trading name(s) (if any)	
3	Company Registration Number	
4	Registered Address	
5	Primary Contact (name, role, email, phone)	
Regulatory Status and Client Money		
6	Regulator reference number	
7	Do you hold client money?	(Yes/No)
8	If Yes (Q7): Method - Risk transfer / Statutory trust / Non-statutory trust	<i>Select as appropriate</i>
9	Beneficial owners (>25% direct/indirect) - full names, country of residence, % ownership	
10	Business scope - classes of business and main client/country mix	
11	Expected annual premium to RSUMIL (12 months)	<£1m / £1–5m / >£5m <i>Select as appropriate</i>

Declarations

By submitting this questionnaire, the Company confirms that:

1. Accuracy of Information

The information provided in this questionnaire and any supporting documents is true, accurate and complete. The Company undertakes to notify RSUMIL promptly of any material changes, and acknowledges that RSUMIL may request further information or documentation as part of its onboarding, approval or ongoing suitability assessment.

2. Regulatory Status

The Company holds all necessary licenses, regulatory permissions and authorisations required in the relevant jurisdictions and classes of business to conduct insurance and/or reinsurance distribution and to place business with RSUMIL.

3. Financial Crime Controls

The Company has appropriate policies, procedures and controls in place to prevent and detect financial crime, including anti-money laundering, sanctions compliance, bribery and corruption, and fraud, in line with applicable laws and regulations.

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4. Consent to Due Diligence

The undersigned confirms authority to provide this information and consents to RSUMIL carrying out regulatory, sanctions, credit and adverse-media checks on the Company, its directors and beneficial owners for onboarding and ongoing suitability monitoring.

5. Terms of Business

The Company acknowledges that any trading with RSUMIL is subject to a Terms of Business Agreement (TOBA) being agreed and signed.

6. Fitness and Propriety

In the last five (5) years, neither the Company nor, to the best of its knowledge, any director or controller has been subject to insolvency or bankruptcy proceedings, material civil judgments, regulatory sanctions, criminal convictions (excluding minor motoring offences), or material professional negligence claims, except as disclosed below.

Duly signed on behalf of the Broker,

Name:

Position:

Date: